Cognitive functioning following long-term cannabidiol use in adults with treatment-resistant epilepsy

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A B S T R A C T

Cognitive dysfunction is a common comorbidity in adults with treatment-resistant epilepsy (TRE). Recently, cannabidiol (CBD) has demonstrated efficacy in epilepsy treatment. However, our understanding of CBD’s cognitive effects in epilepsy is limited. We examined long-term cognitive effects of CBD in adults with TRE as part of an ongoing prospective, open-label safety study. Twenty-seven adults with TRE (mean age: 34[standard deviation (SD) 14], female 52%) enrolled in the UAB CBD program completed standardized cognitive testing (NIHTB-Cognition Battery (NIHTB-CB)) at pre-CBD administration baseline and at one-year follow-up. Participants were receiving stable CBD dose at the time of one-year testing (mean = 36.5 mg/kg/day). The NIHTB-CB consisted of two global composite scales (Fluid and Crystallized) and seven individual tests measuring aspects of working memory, episodic memory, executive function, processing speed, and language. All participants had recorded Chalfont Seizure Severity Scale (CSSS) scores at each visit. Statistical analyses included t-test, Pearson correlation coefficient, and multivariate one-way ANOVA. At baseline, cognitive test performance was below average for both global composite scales (Fluid: 71 [±18] range: 46–117) and Crystallized (76 [±15] range: 59–112]). Longitudinal analysis revealed no significant group change across the two global composite scales. Of the seven individual cognitive tests, none changed significantly over time. No correlation was found between the cognitive change scores and CBD dose (all P’s ≥ 0.2). Change in cognitive test performance was not associated change in seizure severity rating. These findings are encouraging and indicate that long-term administration of pharmaceutical-grade CBD is overall cognitively well-tolerated in adults with TRE.

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1. Introduction

The use of cannabis derivative compounds within the context of clinical trial therapeutic interventions has become increasingly common in recent years [1]. Of particular interest has been the study of cannabidiol (CBD) as a relatively nonpsychoactive cannabis-derived compound compared with the more well-known and studied compound Δ9-tetrahydrocannabinol (Δ9-THC) [2]. This trend extends to treatment trials in epilepsy with a growing number of studies providing information on CBDS safety and efficacy [3–5]. Two large open-label trials and a few smaller trials have shown promise towards meaningful seizure reduction, as well as positive safety profiles in children and adults with treatment-resistant epilepsy (TRE) [3,6,7]. One recent study found favorable self and/or family reported cognitive and behavioral outcomes in a small group of children and adults with TRE and tuberous sclerosis [8].

To date, side effect profiles reported in epilepsy CBD studies describe fairly common occurrence of CBD-related symptoms including somnolence, diarrhea, and fatigue [3], as well as potential interactions with antiseizure drugs (ASDs) [5] which may be a factor in the reported side effect profiles. Anecdotal reports of patients using artisanal cannabis products described improvements in mood, sleep, and alertness [10]. The use of CBD as a potentially preferred choice of cannabinoid-based ASD as related to cognitive effects comes from several studies demonstrating the overall neutral impact from CBD in contrast to the commonly found negative psychoactive impact upon cognitive processing with THC [11,12]. When using CBD-only preparations, primarily neutral cognitive effects have been reported, in contrast to more common occurrence of negative cognitive effects from the use of isolated Δ9-THC content [11]. Furthermore, a few studies have shown that CBD may serve as a protective effect from the negative cognitive effects of Δ9-THC [12] if used in a pretreatment format or as add-on to the THC. In...
one functional Magnetic Resonance Imaging (fMRI) study investigating cognitive test performance in healthy volunteers, CBD did not differentially affect test performance as compared to either groups receiving placebo or Δ²-THC [13]. However, this study and others have found interesting differential brain activation patterns between CBD and THC across various experimental cognitive tasks such as motor response inhibition, and emotional processing and across various brain regions [14]. For example, administration of THC to healthy young adults resulted in attenuation of bilateral parahippocampal gyrus activation during an fMRI-based go-no go task, but CBD-augmented activation to that area [13].

In an effort to provide additional outcome data for the growing CBD epilepsy literature, the current study presents results of one-year cognitive outcome in a group of adults participating in the University of Alabama at Birmingham (UAB) CBD open-label compassionate-use study [4]. Other than the reporting of CNS symptom adverse events, prior CBD epilepsy studies have not systematically examined cognitive function outcome via formal neurocognitive assessment methods.

The present study assessed cognitive function by the use of a standardized and validated computer-based battery of tests (i.e., NIH Toolbox Cognition Battery (NIHTB-CB)), as part of a comprehensive battery measurement of physiologic, mood/Quality of Life (QOL), and adaptive behavior [4]. Based upon prior literature investigating CBD and cognition as well as relatively neutral side effect profile, we hypothesized that compared to a pre-CBD baseline cognitive testing, no statistically significant changes would be evident at one-year follow-up testing for patients on steady-state CBD dose.

2. Methods

2.1. Participants

Study participants were part of the open-label compassionate use UAB CBD program approved by the State of Alabama legislative act “Carly’s Law”. The overall goal of this study was to assess the safety and tolerability of CBD in adults and children with TRE. Only the adult participants (ages ≥ 19 years old) recruited for the program were included for the present study. Detailed study design and description are provided in recent publications [4,9]. All study participants and/or their legal representatives signed informed consent approved by UAB Institutional Review Board. The study received approval from the U.S. Food and Drug Administration (FDA) and registered with www.clinicaltrials.gov under the number (NCT02700412).

In summary, each participant underwent a comprehensive admission screening process before inclusion to the study [9] that included medical records review by a study approval committee, as well as extensive inclusion/exclusion criteria list review. Inclusion criteria included having a defined TRE defined as failure to respond to four or more ASDs including ≥ 1 trials of two concurrent ASDs. Exclusion criteria included the use of medical marijuana or CBD-based product within 30 days of study enrollment and history of substance abuse or addiction (all inclusion and exclusion criteria are available at www.uab.edu/cbd).

At initial study visit, participants completed comprehensive physical, neurological, and laboratory testing [9]. Participants received a pharmaceutical formulation of highly purified CBD derived from Cannabis sativa L plant in oral solution (100 mg/ml; Epidiolex® in the U.S.; GW Research Ltd., Cambridge, United Kingdom) [15] with start dose at 5 mg/kg/day divided between morning and evening doses. Participants were instructed to take CBD at usual time of their ASD administration. CBD was gradually titrated upwards in 5 mg/kg/day increments over the course of follow-up study visits (every two weeks) until reaching a tolerable and treatment effective dose. The maximum CBD dose was 50 mg/kg/day. Seizure severity was assessed via standardized questionnaire (Chalfont Seizures Severity Scale; CSSS) [16].

For the purpose of this study, baseline cognitive testing was completed prior to initiating the CBD medication and then again at approximately 48 weeks after baseline. Only participants receiving stable dose CBD at the one-year study visit were included in the study analyses.

2.2. Cognitive test battery

Cognitive performance assessed by using the NIH Toolbox Cognition Battery (NIHTB-CB); Version 2.0: www.Healthmeasures.net/nihtoolbox, The NIH Toolbox is a multidimensional set of measures that researchers can use to assess cognitive, sensory, motor, and emotional function across the ages 3–85. The measures have been normed and validated in a broad sample of the U.S. population [17,18]. The cognitive measures selected for use in this study were seven individual tasks that assess aspects of attention/working memory, executive function, episodic memory, and language. Two global composite scores (Cristalized and Fluid) were also calculated. Good test–retest reliability reported on these measures with minimal change in the Crystallized measures and modest change for Fluid measures (i.e., approximately one scale score unit improvement) [17].

2.2.1. Tests comprising the Fluid Composite score

(1) Dimensional Change Card Sort (DCCS) Test measures aspects of cognitive flexibility/set-shifting by having participants select stimulus choice per two-target matching category (i.e., color or shape). Dimensional Change Card Sort score derived from combination of selection accuracy and reaction time. (2) Flanker Inhibitory Control and Attention Test requires participant to select a specific choice within an array of distracting visual stimuli (i.e., direction arrows) and assesses inhibitory responding. Flanker score based on combination of accuracy and reaction time. (3) Picture Sequence Memory Test requires participants to recall in sequence pictured stimuli from common contextual theme (i.e., scenes from a park). Memory score based on placement accuracy of stimuli. (4) Pattern Comparison Processing Speed Test is a measure assessing speed of processing by having participants make speeded dichotomous choice of same/different between two visually-presented objects. Correct responses within 90 s represent total score. (5) List Sorting Working Memory Test assesses visual working memory by having participants recall and sequence dual modality (auditory/visual) presented sets of simple common animals and/or foods. Total correct responses for one-item and two-item trials.

2.2.2. Tests comprising the Crystallized Composite score

(1) The Oral Reading Recognition Test has participants read computer presented words of varying pronunciation difficulty. (2) The Picture Vocabulary Test is a measure of receptive vocabulary in which participants hear a word and then asked to select one out of four pictures that best corresponds to that word.

2.3. Data analyses

We first compared demographic and clinical characteristics of our study participant sample by dividing into two groups. The first group consisted of all participants having completed both baseline and one-year cognitive testing (n = 27) and were taking CBD at the time of their one-year testing session. The second group consisted of the remaining study participants (n = 53). We used Wilcoxon’s test, Pearson’s chi-square test, and Fisher’s exact test where appropriate.

We assessed whether baseline test scores differed between participants who completed the one-year follow-up cognitive testing and those who completed testing at baseline only (n = 13). Bonferroni correction [0.05/10 (7 individual test scores, 3 composite scores) = 0.0005 P-value] was used given the multiple comparisons. In addition, results from a sensitivity analysis that used multivariate one-way ANOVA (MANOVA) were also reported given the caveat of lost data.
To determine whether there were significant changes in cognition following the one-year CBD exposure, we employed the paired t-test to determine changes in cognitive test scores at one-year relative to baseline test scores (n = 27) and included Bonferroni correction for multiple comparisons. The t-test with correction for multiple comparisons was chosen over the MANOVA since participants would be deleted from the analysis if they were missing even one (or more) test scores out of the seven core cognitive tests. However, we included results from the MANOVA as a sensitivity analysis.

To determine whether changes in cognition were associated with one-year CBD exposure, we used the Pearson's linear correlation test as a preliminary analysis to assess the strength of the relationship between changes in cognition measures and individual CBD dose at one-year. We also examined the same association by adjusting for baseline cognitive test performance through multiple regression analysis.

To assess whether a reduction in seizures improved cognitive test performances, the previous analysis was repeated with the seizure severity measure instead of the CBD dose variable.

As of the cutoff date (3/12/19) for data analysis, the study had 80 enrolled participants (mean age = 33 ± 14 years, female = 44 (55%), White (91%)). Of those 80 participants, 27 had completed both baseline and one-year cognitive testing and were taking CBD at time of the one-year visit. We also examined the same association by adjusting for baseline cognitive test performance through multiple regression analysis.

Table 1 presents the baseline demographic and clinical characteristics of study participants having completed baseline and one-year cognitive testing (N = 27) and the remaining study sample (N = 53). The two groups did not differ across age, proportion female/male, or race (i.e., majority both groups White). Both groups were taking similar number of Antiepileptic drugs (AEDs) (i.e., combined groups mean = 3), similar number of previously tried AEDs (i.e., mean = 9), history of epilepsy surgery (yes – 48%), and seizure severity scores.

Of note, there was 1 participant with exceptionally high seizure severity score of 315 at baseline and 21 at year 1. A sensitivity analysis that used a MANOVA (F (9, 12) = 0.54, P = 0.83) also produced similar nonsignificant baseline cognitive functioning.

For the study participants completing both baseline and one-year cognitive testing (N = 27), group-level performances on the DCCS, Flanker Test, Oral Reading, and Picture Vocabulary Test were within psychometric normal limits (i.e., standard score between 80 and 115) at baseline while all other cognitive measures were below that performance level (Table 2). Across the individual tests at baseline, there was wide performance heterogeneity with 47% of individual test scores above a standard score of 80, while the remaining 53% below that level. Of the 96 individual test scores below 80 standard score, 36 (38%) were below standard score of 62.

We noted that sample size varied across the individual subtests (Table 2), as well as the composites scores due to reasons of occasional technical issues (e.g., Wi-Fi disconnection during a test) or participant issues (i.e., inattention to task, fatigue, or visual complaints). However, the total number of missing test data points was small. Only seven missing score data points (4%) for the baseline test scores (i.e., 189 total scores = 27 subjects × 7 individual subtests) and only six missing score data points (3%) for the one-year test scores.

To determine whether there were significant changes in cognition following the one-year CBD exposure, we employed the paired t-test to determine changes in cognitive test scores at one-year relative to baseline test scores (n = 27) and included Bonferroni correction for multiple comparisons. The t-test with correction for multiple comparisons was chosen over the MANOVA since participants would be deleted from the analysis if they were missing even one (or more) test scores out of the seven core cognitive tests. However, we included results from the MANOVA as a sensitivity analysis.

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To assess whether a reduction in seizures improved cognitive test performances, the previous analysis was repeated with the seizure severity measure instead of the CBD dose variable.

3. Results

3.1. Participants and baseline characteristics

As of the cutoff date (3/12/19) for data analysis, the study had 80 enrolled participants (mean age = 33 ± 14 years, female = 44 (55%), White (91%)). Of those 80 participants, 27 had completed both baseline and one-year cognitive testing and were taking CBD at time of the one-year visit. We also examined the same association by adjusting for baseline cognitive test performance through multiple regression analysis.

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To assess whether a reduction in seizures improved cognitive test performances, the previous analysis was repeated with the seizure severity measure instead of the CBD dose variable.

All analysis performed using R statistical software version 3.2.3.

3.2. Cognitive function and seizure severity (at baseline and one-year)

3.2.1. Baseline results

Table 2 presents cognitive test performances between our group of participants with both baseline and one-year testing as compared with those participants with baseline only cognitive testing. No statistically significant changes between group differences were found for the NIHTB Cognitive Composite scores or any of the seven individual tests (all P's > 0.20). A sensitivity analysis that used MANOVA (F (9, 12) = 0.54, P = 0.83) also produced similar nonsignificant baseline cognitive functioning.

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To determine whether changes in cognition were associated with one-year CBD exposure, we used the Pearson's linear correlation test as a preliminary analysis to assess the strength of the relationship between changes in cognition measures and individual CBD dose at one-year. We also examined the same association by adjusting for baseline cognitive test performance through multiple regression analysis.
score range went from a 20-point improvement to a 22-point decline. Six patients showed change declines of 10 or more standard score units, while five patients showed either no score change or improvement. We also noted mild improvement for the Picture Vocabulary Test (P = 0.03) with mean group change upwards of 4.85 standard score units. Of

3.3. One-year change in cognitive function and association with stable CBD dosage at one year

Pearson correlation analysis revealed no statistically significant association between changes in cognitive test performance and CBD dose (at one year) across the three cognitive composite scores and the seven individual cognitive tests (all P values > 0.2). No statistically significant effects found across any of the cognitive measures or composites after adjusting for baseline cognitive test performance (all P values > 0.22).

3.4. One-year change in cognitive function and association in seizure severity

Pearson correlation analysis revealed no statistically significant association between changes in cognitive test performance and seizure severity change (all P values > 0.27). No statistically significant effects found across any of the cognitive measures or composites after adjusting for baseline cognitive test performance (all P values > 0.17).

4. Discussion

There exists a growing body of encouraging evidence from randomized controlled trials (RCTs) and open-label investigations for the safety and efficacy of CBD as an adjunctive treatment for persons with epilepsy [19]. Improvements in seizure frequency have been reported in both adults and pediatric groups [4]. With those findings in mind, the present prospective, open-label study examined long-term cognitive outcomes for a group of adults with TRE who were taking therapeutic doses of CBD over the course of one year. To our knowledge, this is one of the first studies [20] to investigate with standardized neurocognitive measures the potential cognitive impact of long-term stable CBD dose in persons with TRE. The CBD administered was pharmaceutical grade and well-characterized in terms of dosage and measurement of adverse events [4].

Our primary finding was that the addition of CBD to TRE participants' medication regimen did not appreciably affect global cognitive function as measured via standardized cognitive measures (i.e., NIH TCB). Neither the NIH TCB Fluid Composite score nor the Crystallized Composite score changed statistically from baseline to one year. We also found no statistically determined performance change across any of the seven individual cognitive tasks. The only exception to these findings was for a very modest group level difference between baseline and one-year performance on the DCCS task. This task assessed set-shifting ability via a card-sorting format and represented an aspect of executive function [17]. The direction of performance change was negative as the group displayed lower scores at one-year compared to baseline assessment of approximately one-third standard deviation unit (Table 2).

Reasons for the performance change on the DCCS task as opposed to the other cognitive measures is not currently clear. Whether this particular test has properties that are sensitive to CBD effects is unclear. The DCCS task measures aspects of executive functioning described the
form of flexibility/set-shifting [17,21]. Whether CBD specifically affected this cognitive function remains open to discussion. The other NIH-ITB-CB executive measure (i.e., Flanker task) showed a similar downward pattern of change as the DCCS task (see Table 2) but not reaching statistical significance possibly because of larger standard deviation within the group. At the same time, both tests have previously demonstrated positive correlation with each other in a normative healthy adult group [22] and involve processing speed/reaction time selection demands. Prior studies utilizing fMRI measurement in other groups (e.g., healthy volunteers) have demonstrated that CBD modifies several regional brain areas including frontostral restating-state connectivity [23] that have involvement with executive tasks of the type from the NIH-ITB-CB, as well as brain areas involved with various cognitive operations (e.g., verbal memory, response inhibition) [13]. However, while interesting to speculate on these findings in relation to our present cognitive data, further work is needed with persons with TRE and functional connectivity associations.

As a group, cognitive functioning was below average and remained in that range over the course of the one-year interval. The level of cognitive test performances for this group with TRE is not unexpected since these were persons with very intractable and with severe epilepsy. While a few of the study participants had average-to-above average cognitive testing performance, the majority of participants were performing below average ranges. At baseline assessment, 47% of participants had composite scores within psychometrically defined normal ranges (i.e., above standard score of 80). This was true for both tasks considered more susceptible to medical treatments (i.e., Fluid tests), as well as those considered more resilient to neurological injury or medical treatment (i.e., Crystalized tests).

This study had several limitations that include previously mentioned issues involved with any prospective, open-label study [4] (e.g., treatment expectation bias, flexible dosing schedule). We acknowledge study limitations that include substantial proportion of study participants not completing cognitive testing at the one-year interval, as well as those not able to complete testing at baseline visit. Those participants not completing cognitive testing at baseline presented with significant cognitive and in some instances motoric disabilities (i.e., developmental disabilities) that prevented their ability to complete the computerized tests. This suggests that for cognitive testing in this group of severely disabled patients, reliance upon caregiver interview questionnaires will be needed (see Hess et al. [8]). The omission of those not tested and those who decided to leave the study may have enriched the cohort for responders, thus weakening the correlations of the changes on cognitive functions and clinical severity. However, the improvements in the clinical outcomes (i.e., seizure frequency) were similar to those reported by others using Epidiolex. Nevertheless, there is a need for investigating cognitive performance in randomized placebo-controlled trials that includes persons with higher Intellectual Quotient (IQ) levels. Of additional note, several test scores within our participant sample were clearly approaching floor levels (i.e., standard scores < 61). Approximately, 20% of test scores fell below that standard score level.

Another issue involves the lack of comparison of non-CBD group with TRE that would help provide gauge for measuring any practice/re-test effects. Even though our CBD group did not show substantial change over the retest interval, there exists possibility that our group may have not shown possible practice effect. This question remains open as our data collected was within context of a large open-label safety/efficacy study and not intended as comparison project. Future study would be needed to answer this question.

At the same time, we did note a substantial range of cognitive test performances suggesting a potentially representative sampling of the cognitive levels seen in epilepsy clinics treating patients with TRE. Study participants’ standard scores ranged from severely impaired to high average psychometric ranges. As noted above, we found null associations between CBD dose at one-year and cognitive performance after controlling for baseline performance levels. While certainly with limitations, as highlighted above, our present findings are encouraging in addition to the reports of clinical efficacy of CBD as adjunctive treatment for TRE. Further work utilizing randomized, placebo-controlled designs and larger samples will validate these promising early efforts.

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We confirm that we have read the journal’s position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

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